ROTHSCHILD & CO INVESTMENT MANAGERS

Information concerning Customer Complaints

Rothschild & Co Investment Managers (hereinafter "The Company") has established a procedure to process complaints from any complainant. This complaints handling procedure has been implemented in the context of managing undertakings for collective investment in transferable securities and alternative investment funds in accordance with the following:

- CSSF Regulation 16-07 relating to out-of-court complaint resolution;
- Article 7 of CSSF Regulation 10-04;
- CSSF Circular 19/718;
- CSSF Circular 18/698 (sections 360-368);
- CSSF Circular 17/671.

Complaints must be submitted:

By Mail:
Rothschild & Co Investment Managers
For the attention of Mr Jose Maria Campos Donderis
21, rue d'Epernay
L-1490 Luxembourg
Luxembourg

By Telephone:
+352 27 49 72 39

By Email:
JoseMaria.CamposDonderis@rothschildandco.com

Complaints may also be sent to the Company through the distributors, transfer agents or paying agents of the Funds.

The complaint shall be supported by a statement of the reasons on which it is based together with, inter alia, the following documents:

- a detailed and chronological statement of the facts underlying the complaint and the steps already taken by the applicant;

- in the case where a person acts on behalf of an applicant or on behalf of a legal person, a document showing that the person is legally entitled to act so;

- a copy of a valid ID document of the applicant (natural person) or, where the applicant is a legal person, of the natural person representing this legal person.

The written acknowledgement of receipt will be provided to the complainant within a period which shall not exceed 10 business days after receipt of the complaint, unless the answer itself is provided to the complainant within this period.

The Complaints Manager together with Compliance Department (when necessary) is entrusted with the handling of all complaints by a process involving the participation of multiple offices to ensure a thorough examination of the issues involved. The Company must handle all complaints with the utmost diligence, transparency and objectivity and must communicate its decision to the investor in writing within 30 calendar days (1 month) of receipt of the complaint by registered mail or email with return receipt. Where an answer cannot be provided within this period, the Company will inform the complainant of the causes of the delay and will define a date at which the examination will likely be achieved. All relevant data is logged in the complaint register kept by the Company.

In accordance with articles 15(2) of the CSSF regulation 16-07 relating to out-of-court complaint resolution and as defined in CSSF Circular 18/698, where the complainant did not obtain an answer or a satisfactory answer at the level at which s/he submitted his/her complaint in the first instance, the complainant has the opportunity to rise the complaint up to the senior management (conducting officer) of Rothschild & Co Investment Managers:

By Mail:
Rothschild & Co Investment Managers
For the attention of Mr Xavier Monnereau
21, rue d'Epernay
L-1490 Luxembourg
Luxembourg

Or alternatively by email to:
Xavier.Monnereau@rothschildandco.com

In the absence of a response sent to the investor within the set terms, or if the investor is not satisfied with the resolution of the complaint, before resorting to a court the investor may contact the *Commission de Surveillance du Secteur Financier* (CSSF) (the Luxembourg regulator) who acts as the intermediary in out-of-court settlements between companies under its control and their clients.

The request must be filed with the CSSF in writing, by post or by fax to the CSSF or by email (to the address/number available on the CSSF website, http://www.cssf.lu/en/consumer/complaints). In order to facilitate the filing of a request, the CSSF publishes a form on its website. Such request must be filed with the CSSF within one year after the complaint has been filed with the Company.

Besides the details of the complaint resolution procedure and the information on the CSSF out of court complaint resolution process expressed in this document, please note that in the event that further information is required, the complaint handling procedure will be available upon request.

The rights and guarantees stipulated under Luxembourg law (Law of August 2, 2002) regarding the protection of individuals and the handling of personal information are recognized.

The complaints handling procedure of the Company is available free of charge at the registered office of the Company:
Rothschild & Co Investment Managers
21, rue d'Epernay
L-1490 Luxembourg
Luxembourg
Contact: LUX.R&CoIM.COMPLIANCE@Rothschildandco.com

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